

**General information about company**

Scrip code	531179
NSE Symbol	ARMANFIN
MSEI Symbol	NOTLISTED
ISIN	INE109C01017
Name of the company	ARMAN FINANCIAL SERVICES LIMITED
Whether company is SME	No
Class of Security	Equity Shares
Type of report	Quarterly
Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)	31-12-2020
Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	
Shareholding pattern filed under	Regulation 31 (1) (b)
Whether the listed entity is Public Sector Undertaking (PSU)?	No

**Declaration**

Sr. No.	Particular	Yes/No	Promoter and Promoter Group	Public shareholder	Non Promoter-Non Public
1	Whether the Listed Entity has issued any partly paid up shares?	No	No	No	No
2	Whether the Listed Entity has issued any Convertible Securities ?	No	No	No	No
3	Whether the Listed Entity has issued any Warrants ?	No	No	No	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No	No	No	No
5	Whether the Listed Entity has any shares in locked-in?	Yes	Yes	Yes	No
6	Whether any shares held by promoters are pledge or otherwise encumbered?	No	No		
7	Whether company has equity shares with differential voting rights?	No	No	No	No
8	Whether the listed entity has any significant beneficial owner?	Yes			

**Table I - Summary Statement holding of specified securities**

Category (I)	Category of shareholder (II)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+ (V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			Total as a % of (A+B+C)
								No of Voting (XIV) Rights			
								Class eg: X	Class eg:y	Total	
(A)	Promoter & Promoter Group	9	2331333			2331333	27.47	2331333		2331333	27.47
(B)	Public	8408	6156301			6156301	72.53	6156301		6156301	72.53
(C)	Non Promoter-Non Public										
(C1)	Shares underlying DRs										
(C2)	Shares held by Employee Trusts										
	Total	8417	8487634			8487634	100	8487634		8487634	100

**Table I - Summary Statement holding of specified securities**

Category (I)	Category of shareholder (II)	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
						No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
(A)	Promoter & Promoter Group				27.47	782908	33.58			2331333
(B)	Public				72.53	38090	0.62			5968861
(C)	Non Promoter-Non Public									
(C1)	Shares underlying DRs									
(C2)	Shares held by Employee Trusts									
	Total				100	820998	9.67			8300194

**Table II - Statement showing shareholding pattern of the Promoter and Promoter Group**

Sr.	Category & Name of the Shareholders (I)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of Total Voting rights
								Class eg: X	Class eg:y	Total	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group										
(1)	Indian										
(a)	Individuals/Hindu undivided Family	8	1383025			1383025	16.29	1383025		1383025	16.29
(d)	Any Other (specify)	1	948308			948308	11.17	948308		948308	11.17
Sub-Total (A) (1)		9	2331333			2331333	27.47	2331333		2331333	27.47
(2)	Foreign										
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A) (2)		9	2331333			2331333	27.47	2331333		2331333	27.47
B	Table III - Statement showing shareholding pattern of the Public shareholder										
(1)	Institutions										
(e)	Foreign Portfolio Investors	5	276827			276827	3.26	276827		276827	3.26
Sub-Total (B) (1)		5	276827			276827	3.26	276827		276827	3.26
(2)	Central Government/ State Government(s)/ President of India	1	30			30	0	30		30	0
Sub-Total (B) (2)		1	30			30	0	30		30	0
(3)	Non-institutions										
(a(i))	Individuals - i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	7815	1852772			1852772	21.83	1852772		1852772	21.83
(a(ii))	Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	14	1205746			1205746	14.21	1205746		1205746	14.21
(e)	Any Other (specify)	573	2820926			2820926	33.24	2820926		2820926	33.24
Sub-Total (B) (3)		8402	5879444			5879444	69.27	5879444		5879444	69.27
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)		8408	6156301			6156301	72.53	6156301		6156301	72.53
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder										
Total (A+B+C2)		8417	8487634			8487634	100	8487634		8487634	100
Total (A+B+C)		8417	8487634			8487634	100	8487634		8487634	100

**Table II - Statement showing shareholding pattern of the Promoter and Promoter Group**

Sr.	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
					No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group								
(1)	Indian								
(a)				16.29	0	0			1383025
(d)				11.17	782908	82.56			948308
Sub-Total (A) (1)				27.47	782908	33.58			2331333
(2)	Foreign								
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)				27.47	782908	33.58			2331333
B	Table III - Statement showing shareholding pattern of the Public shareholder								
(1)	Institutions								
(e)				3.26	0	0			276827
Sub-Total (B) (1)				3.26	0	0			276827
( 2 )				0	0	0			30
Sub-Total (B) (2)				0	0	0			30
( 3 )	Non-institutions								
(a(i))				21.83	600	0.03			1669332
(a(ii))				14.21	0	0			1205746
(e)				33.24	37490	1.33			2816926
Sub-Total (B) (3)				69.27	38090	0.65			5692004
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)				72.53	38090	0.62			5968861
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder								
Total ( A+B+C2 )				100	820998	9.67			8300194
Total (A+B+C )				100	820998	9.67			8300194



No. (a)							
As a % of total Shares held (b)							
Number of equity shares held in dematerialized form (XIV)	196000	427937	13244	436089	19830	247809	800
Reason for not providing PAN							
Reason for not providing PAN							
Shareholder type	Promoter Group	Promoter	Promoter	Promoter	Promoter	Promoter Group	Promoter Group



<b>Individuals/Hindu undivided Family</b>			
Serial No.	8	9	
Name of the Shareholders (I)	SAJNI AALOK PATEL	AKASH JAYENDRA PATEL	<a href="#">Click here to go back</a>
PAN (II)	AVQPS8494K	AFJPP1106N	Total
No. of fully paid up equity shares held (IV)	41316	0	1383025
No. Of Partly paid-up equity shares held (V)			
No. Of shares underlying Depository Receipts (VI)			
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	41316	0	1383025
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	0.49	0	16.29
<b>Number of Voting Rights held in each class of securities (IX)</b>			
Class eg:X	41316	0	1383025
Class eg:y			
Total	41316	0	1383025
Total as a % of Total Voting rights	0.49	0	16.29
No. Of Shares Underlying Outstanding convertible securities (X)			
No. of Shares Underlying Outstanding Warrants (Xi)			
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)			
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(Xi)(a) As a % of (A+B+C2)	0.49	0	16.29
<b>Number of Locked in shares (XII)</b>			
No. (a)	0	0	0
As a % of total Shares held (b)	0	0	0
<b>Number of Shares pledged or otherwise encumbered (XIII)</b>			
No. (a)			
As a % of total Shares held (b)			
Number of equity shares held in dematerialized form (XIV)	41316	0	1383025
<b>Reason for not providing PAN</b>			

Reason for not providing PAN			
Shareholder type	Promoter Group	Promoter Group	

<b>Any Other (specify)</b>		
Serial No.	1	
Category	Bodies Corporate	<a href="#">Click here to go back</a>
Name of the Shareholders (I)	NAMRA HOLDINGS AND CONSULTANCY SERVICES LLP	
PAN (II)	AAOFN4350J	Total
No. of the Shareholders (I)	1	1
No. of fully paid up equity shares held (IV)	948308	948308
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	948308	948308
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	11.17	11.17
<b>Number of Voting Rights held in each class of securities (IX)</b>		
Class eg: X	948308	948308
Class eg:y		
Total	948308	948308
Total as a % of Total Voting rights	11.17	11.17
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	11.17	11.17
<b>Number of Locked in shares (XII)</b>		
No. (a)	782908	782908
As a % of total Shares held (b)	82.56	82.56
<b>Number of Shares pledged or otherwise encumbered (XIII)</b>		
No. (a)		
As a % of total Shares held (b)		
Number of equity	948308	948308

shares held in dematerialized form (XIV)		
Reason for not providing PAN		
Reason for not providing PAN		
Shareholder type	Promoter Group	

**Foreign Portfolio Investors**

Serial No.	1	
Name of the Shareholders (I)	HIGHWEST GLOBAL MASTER FUND, LP	<a href="#">Click here to go back</a>
PAN (II)	AACAH6676C	Total
No. of fully paid up equity shares held (IV)	156030	156030
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	156030	156030
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1.84	1.84
<b>Number of Voting Rights held in each class of securities (IX)</b>		
Class eg: X	156030	156030
Class eg:y		
Total	156030	156030
Total as a % of Total Voting rights	1.84	1.84
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	1.84	1.84
<b>Number of Locked in shares (XII)</b>		
No. (a)	0	0
As a % of total Shares held (b)	0	0
Number of equity shares held in dematerialized form (XIV)	156030	156030
<b>Reason for not providing PAN</b>		
Reason for not providing PAN		

<b>Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.</b>					
Serial No.	1	2	3	4	
Name of the Shareholders (I)	AVINASH PARSRAM WADHWA	BABULALL DUGAR	MUKUL MAHAVIR AGRAWAL	VINOD KUMAR DUGAR	<a href="#">Click here to go back</a>
PAN (II)	AAIPW9608A	AEAPD5581P	AAPFP7724D	AANPD6487B	Total
No. of fully paid up equity shares held (IV)	94817	136715	429262	91730	752524
No. Of Partly paid-up equity shares held (V)					
No. Of shares underlying Depository Receipts (VI)					
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	94817	136715	429262	91730	752524
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1.12	1.61	5.06	1.08	8.87
<b>Number of Voting Rights held in each class of securities (IX)</b>					
Class eg: X	94817	136715	429262	91730	752524
Class eg:y					
Total	94817	136715	429262	91730	752524
Total as a % of Total Voting rights	1.12	1.61	5.06	1.08	8.87
No. Of Shares Underlying Outstanding convertible securities (X)					
No. of Shares Underlying Outstanding Warrants (Xi)					
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)					
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	1.12	1.61	5.06	1.08	8.87
<b>Number of Locked in shares (XII)</b>					
No. (a)	0	0	0	0	0
As a % of total Shares held (b)	0	0	0	0	0
Number of equity shares held in dematerialized form (XIV)	94817	136715	429262	91730	752524
<b>Reason for not providing PAN</b>					
Reason for not providing PAN					

Any Other (specify)							
Serial No.	1	2	3	4	5	6	7
Category	Bodies Corporate	Clearing Members	IEPF	Others	Others	Others	ESOP or ESOS or ESPS
Category / More than 1 percentage	Category	Category	Category	Category	More than 1 percentage of shareholding	Category	Category
Name of the Shareholders (I)				NON RESIDENT INDIANS (REPAT)	DEBASHISH NEOGI	NON RESIDENT INDIANS (NON REPAT)	
PAN (II)					ABFPN8889A		
No. of the Shareholders (I)	68	34	1	215	1	101	48
No. of fully paid up equity shares held (IV)	241515	6695	182905	295797	121000	109436	37490
No. Of Partly paid-up equity shares held (V)							
No. Of shares underlying Depository Receipts (VI)							
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	241515	6695	182905	295797	121000	109436	37490
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	2.85	0.08	2.15	3.49	1.43	1.29	0.44
Number of Voting Rights held in each class of securities (IX)							
Class eg: X	241515	6695	182905	295797	121000	109436	37490
Class eg:y							
Total	241515	6695	182905	295797	121000	109436	37490
Total as a % of Total Voting rights	2.85	0.08	2.15	3.49	1.43	1.29	0.44
No. Of Shares Underlying Outstanding convertible securities (X)							
No. of Shares Underlying Outstanding Warrants (Xi)							
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)							
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	2.85	0.08	2.15	3.49	1.43	1.29	0.44
Number of Locked in shares (XII)							
No. (a)	0	0	0	0	0	0	37490
As a % of total Shares held (b)	0	0	0	0	0	0	100
Number of equity	237515	6695	182905	295797	121000	109436	37490

shares held in dematerialized form (XIV)							
Reason for not providing PAN							
Reason for not providing PAN							



Any Other (specify)				
Serial No.	8	9	10	
Category	HUF	Overseas Corporate Bodies	Overseas Corporate Bodies	
Category / More than 1 percentage	Category	Category	More than 1 percentage of shareholding	
Name of the Shareholders (I)			SAIF PARTNERS INDIA V LIMITED	<a href="#">Click here to go back</a>
PAN (II)			AAVCS3166R	Total
No. of the Shareholders (I)	105	1	1	573
No. of fully paid up equity shares held (IV)	56671	1890417	1890417	2820926
No. Of Partly paid-up equity shares held (V)				
No. Of shares underlying Depository Receipts (VI)				
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	56671	1890417	1890417	2820926
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	0.67	22.27	22.27	33.24
Number of Voting Rights held in each class of securities (IX)				
Class eg: X	56671	1890417	1890417	2820926
Class eg:y				
Total	56671	1890417	1890417	2820926
Total as a % of Total Voting rights	0.67	22.27	22.27	33.24
No. Of Shares Underlying Outstanding convertible securities (X)				
No. of Shares Underlying Outstanding Warrants (Xi)				
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)				
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	0.67	22.27	22.27	33.24
Number of Locked in shares (XII)				
No. (a)	0	0	0	37490
As a % of total Shares held (b)	0	0	0	1.33
Number of equity shares held in dematerialized form (XIV)	56671	1890417	1890417	2816926
Reason for not providing PAN				

Reason for not providing PAN				
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**significant beneficial owners**

Details of the SBO					Details of the registered owner					Details of holding/ exercise of right of the reporting company, whether direct			
Name	PAN	Passport No. in case of a foreign national	Nationality	Nationality (Applicable in case of Any other is selected)	Name	PAN	Passport No. in case of a foreign national	Nationality	Nationality (Applicable in case of Any other is selected)	Whether by virtue of:			
										Shares	Voting rights	Rights on distributable dividend or any other distribution	Exercise of control
SHRADDHA RENDRABHAI AILALBHAI TEL	ABBPP2876K		India		JAYENDRA BHAILAL PATEL-HUF	AABHP2573C		India		2.31	2.31	2.31	Yes
SHRADDHA RENDRABHAI AILALBHAI TEL	ABBPP2876K		India		NAMRA HOLDINGS AND CONSULTANCY SERVICES LLP	AAOFN4350J		India		5.59	5.59	5.59	Yes
SHRADDHA RENDRABHAI AILALBHAI TEL	ABBPP2876K		India		JAYENDRABHAI BHAILALBHAI PATEL	ABBPP2876K		India		5.04	5.04	5.04	Yes
<b>Total:</b>										<b>12.94</b>	<b>12.94</b>	<b>12.94</b>	
SHRADDHA RITABEN RENDRABHAI TEL	ABOPP5894N		India		NAMRA HOLDINGS AND CONSULTANCY SERVICES LLP	AAOFN4350J		India		2.79	2.79	2.79	Yes
SHRADDHA RITABEN RENDRABHAI TEL	ABOPP5894N		India		RITABEN JAYENDRABHAI PATEL	ABOPP5894N		India		5.14	5.14	5.14	Yes
<b>Total:</b>										<b>7.93</b>	<b>7.93</b>	<b>7.93</b>	
SHRADDHA OK RENDRA TEL	AJHPP9910G		India		NAMRA HOLDINGS AND CONSULTANCY SERVICES LLP	AAOFN4350J		India		2.79	2.79	2.79	Yes
SHRADDHA OK RENDRA TEL	AJHPP9910G		India		ALOK JAYENDRA PATEL	AJHPP9910G		India		2.92	2.92	2.92	Yes
<b>Total:</b>										<b>5.71</b>	<b>5.71</b>	<b>5.71</b>	