

**General information about company**

Scrip code	531179
NSE Symbol	ARMANFIN
MSEI Symbol	NOTLISTED
ISIN	INE109C01017
Name of the company	ARMAN FINANCIAL SERVICES LIMITED
Whether company is SME	No
Class of Security	Equity Shares
Type of report	Quarterly
Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)	30-09-2020
Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	
Shareholding pattern filed under	Regulation 31 (1) (b)
Whether the listed entity is Public Sector Undertaking (PSU)?	No

**Declaration**

Sr. No.	Particular	Yes/No	Promoter and Promoter Group	Public shareholder	Non Promoter-Non Public
1	Whether the Listed Entity has issued any partly paid up shares?	No	No	No	No
2	Whether the Listed Entity has issued any Convertible Securities ?	No	No	No	No
3	Whether the Listed Entity has issued any Warrants ?	No	No	No	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No	No	No	No
5	Whether the Listed Entity has any shares in locked-in?	Yes	Yes	Yes	No
6	Whether any shares held by promoters are pledge or otherwise encumbered?	No	No		
7	Whether company has equity shares with differential voting rights?	No	No	No	No
8	Whether the listed entity has any significant beneficial owner?	Yes			

**Table I - Summary Statement holding of specified securities**

Category (I)	Category of shareholder (II)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+ (V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of (A+B+C)
								Class eg: X	Class eg:y	Total	
(A)	Promoter & Promoter Group	9	2334642			2334642	27.51	2334642		2334642	27.51
(B)	Public	8829	6152992			6152992	72.49	6152992		6152992	72.49
(C)	Non Promoter-Non Public										
(C1)	Shares underlying DRs										
(C2)	Shares held by Employee Trusts										
	<b>Total</b>	<b>8838</b>	<b>8487634</b>			<b>8487634</b>	<b>100</b>	<b>8487634</b>		<b>8487634</b>	<b>100</b>

**Table I - Summary Statement holding of specified securities**

Category (I)	Category of shareholder (II)	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
						No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
(A)	Promoter & Promoter Group				27.51	782908	33.53			2334642
(B)	Public				72.49	261440	4.25			5905352
(C)	Non Promoter-Non Public									
(C1)	Shares underlying DRs									
(C2)	Shares held by Employee Trusts									
	Total				100	1044348	12.3			8239994

**Table II - Statement showing shareholding pattern of the Promoter and Promoter Group**

Sr.	Category & Name of the Shareholders (I)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of Total Voting rights
								Class eg: X	Class eg:y	Total	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group										
(1)	Indian										
(a)	Individuals/Hindu undivided Family	8	1386334			1386334	16.33	1386334		1386334	16.33
(d)	Any Other (specify)	1	948308			948308	11.17	948308		948308	11.17
Sub-Total (A) (1)		9	2334642			2334642	27.51	2334642		2334642	27.51
(2)	Foreign										
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A) (2)		9	2334642			2334642	27.51	2334642		2334642	27.51
B	Table III - Statement showing shareholding pattern of the Public shareholder										
(1)	Institutions										
(e)	Foreign Portfolio Investors	5	149043			149043	1.76	149043		149043	1.76
Sub-Total (B) (1)		5	149043			149043	1.76	149043		149043	1.76
(2)	Central Government/ State Government(s)/ President of India	1	30			30	0	30		30	0
Sub-Total (B) (2)		1	30			30	0	30		30	0
(3)	Non-institutions										
(a(i))	Individuals - i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	8217	1967062			1967062	23.18	1967062		1967062	23.18
(a(ii))	Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	14	1220816			1220816	14.38	1220816		1220816	14.38
(e)	Any Other (specify)	592	2816041			2816041	33.18	2816041		2816041	33.18
Sub-Total (B) (3)		8823	6003919			6003919	70.74	6003919		6003919	70.74
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)		8829	6152992			6152992	72.49	6152992		6152992	72.49
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder										
Total (A+B+C2)		8838	8487634			8487634	100	8487634		8487634	100
Total (A+B+C)		8838	8487634			8487634	100	8487634		8487634	100

**Table II - Statement showing shareholding pattern of the Promoter and Promoter Group**

Sr.	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
					No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group								
(1)	Indian								
(a)				16.33	0	0			1386334
(d)				11.17	782908	82.56			948308
Sub-Total (A) (1)				27.51	782908	33.53			2334642
(2)	Foreign								
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)				27.51	782908	33.53			2334642
B	Table III - Statement showing shareholding pattern of the Public shareholder								
(1)	Institutions								
(e)				1.76	0	0			149043
Sub-Total (B) (1)				1.76	0	0			149043
( 2 )				0	0	0			30
Sub-Total (B) (2)				0	0	0			30
( 3 )	Non-institutions								
(a(i))				23.18	200	0.01			1763822
(a(ii))				14.38	0	0			1220816
(e)				33.18	261240	9.28			2771641
Sub-Total (B) (3)				70.74	261440	4.35			5756279
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)				72.49	261440	4.25			5905352
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder								
Total ( A+B+C2 )				100	1044348	12.3			8239994
Total (A+B+C )				100	1044348	12.3			8239994

Individuals/Hindu undivided Family							
Serial No.	1	2	3	4	5	6	7
Name of the Shareholders (I)	JAYENDRA BHAILAL PATEL- HUF	JAYENDRABHAI BHAILALBHAI PATEL	AMIT RAJNIKANT MANAKIWALA	RITABEN JAYENDRABHAI PATEL	HIMANI AMIT MANAKIWALA	ALOK JAYENDRA PATEL	MAULIK AMIT MANAKIWALA
PAN (II)	AABHP2573C	ABBPP2876K	ABEPM2717P	ABOPP5894N	AHGPM3444H	AJHPP9910G	ALLPM3753C
No. of fully paid up equity shares held (IV)	196000	427937	13244	436089	23139	247809	800
No. Of Partly paid-up equity shares held (V)							
No. Of shares underlying Depository Receipts (VI)							
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	196000	427937	13244	436089	23139	247809	800
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	2.31	5.04	0.16	5.14	0.27	2.92	0.01
Number of Voting Rights held in each class of securities (IX)							
Class eg:X	196000	427937	13244	436089	23139	247809	800
Class eg:y							
Total	196000	427937	13244	436089	23139	247809	800
Total as a % of Total Voting rights	2.31	5.04	0.16	5.14	0.27	2.92	0.01
No. Of Shares Underlying Outstanding convertible securities (X)							
No. of Shares Underlying Outstanding Warrants (Xi)							
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)							
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(Xi)(a) As a % of (A+B+C2)	2.31	5.04	0.16	5.14	0.27	2.92	0.01
Number of Locked in shares (XII)							
No. (a)	0	0	0	0	0	0	0
As a % of total Shares held (b)	0	0	0	0	0	0	0
Number of Shares pledged or otherwise encumbered (XIII)							
No. (a)							
As a % of total Shares held (b)							
Number of	196000	427937	13244	436089	23139	247809	800

equity shares held in dematerialized form (XIV)							
Reason for not providing PAN							
Reason for not providing PAN							
Shareholder type							



<b>Individuals/Hindu undivided Family</b>			
Serial No.	8	9	
Name of the Shareholders (I)	SAJNI AALOK PATEL	AKASH JAYENDRA PATEL	<a href="#">Click here to go back</a>
PAN (II)	AVQPS8494K	AFJPP1106N	Total
No. of fully paid up equity shares held (IV)	41316	0	1386334
No. Of Partly paid-up equity shares held (V)			
No. Of shares underlying Depository Receipts (VI)			
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	41316	0	1386334
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	0.49	0	16.33
<b>Number of Voting Rights held in each class of securities (IX)</b>			
Class eg:X	41316	0	1386334
Class eg:y			
Total	41316	0	1386334
Total as a % of Total Voting rights	0.49	0	16.33
No. Of Shares Underlying Outstanding convertible securities (X)			
No. of Shares Underlying Outstanding Warrants (Xi)			
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)			
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(Xi)(a) As a % of (A+B+C2)	0.49	0	16.33
<b>Number of Locked in shares (XII)</b>			
No. (a)	0	0	0
As a % of total Shares held (b)	0	0	0
<b>Number of Shares pledged or otherwise encumbered (XIII)</b>			
No. (a)			
As a % of total Shares held (b)			
Number of equity shares held in dematerialized form (XIV)	41316	0	1386334
<b>Reason for not providing PAN</b>			
Reason for not providing PAN			
Shareholder type			

Any Other (specify)		
Serial No.	1	
Category	Bodies Corporate	<a href="#">Click here to go back</a>
Name of the Shareholders (I)	NAMRA HOLDINGS AND CONSULTANCY SERVICES LLP	
PAN (II)	AAOFN4350J	Total
No. of the Shareholders (I)	1	1
No. of fully paid up equity shares held (IV)	948308	948308
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	948308	948308
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	11.17	11.17
Number of Voting Rights held in each class of securities (IX)		
Class eg: X	948308	948308
Class eg:y		
Total	948308	948308
Total as a % of Total Voting rights	11.17	11.17
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	11.17	11.17
Number of Locked in shares (XII)		
No. (a)	782908	782908
As a % of total Shares held (b)	82.56	82.56
Number of Shares pledged or otherwise encumbered (XIII)		
No. (a)		
As a % of total Shares held (b)		
Number of equity shares held in dematerialized form (XIV)	948308	948308
Reason for not providing PAN		
Reason for not providing PAN		

Shareholder type		
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<b>Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.</b>					
Serial No.	1	2	3	4	
Name of the Shareholders (I)	MUKUL MAHAVIR AGARWAL	VINOD KUMAR DUGAR	AVINASH P WADHWA	BABULALL DUGAR	<a href="#">Click here to go back</a>
PAN (II)	AAPFP7724D	AANPD6487B	AAIPW9608A	AEAPD5581P	Total
No. of fully paid up equity shares held (IV)	434262	91730	94817	136715	757524
No. Of Partly paid-up equity shares held (V)					
No. Of shares underlying Depository Receipts (VI)					
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	434262	91730	94817	136715	757524
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	5.12	1.08	1.12	1.61	8.93
<b>Number of Voting Rights held in each class of securities (IX)</b>					
Class eg: X	434262	91730	94817	136715	757524
Class eg:y					
Total	434262	91730	94817	136715	757524
Total as a % of Total Voting rights	5.12	1.08	1.12	1.61	8.93
No. Of Shares Underlying Outstanding convertible securities (X)					
No. of Shares Underlying Outstanding Warrants (Xi)					
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)					
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	5.12	1.08	1.12	1.61	8.93
<b>Number of Locked in shares (XII)</b>					
No. (a)	0	0	0	0	0
As a % of total Shares held (b)	0	0	0	0	0
Number of equity shares held in dematerialized form (XIV)	434262	91730	94817	136715	757524
<b>Reason for not providing PAN</b>					
Reason for not providing PAN					

Any Other (specify)							
Serial No.	1	2	3	4	5	6	7
Category	Bodies Corporate	Clearing Members	IEPF	Non-Resident Indian (NRI)	Others	Others	Others
Category / More than 1 percentage	Category	Category	Category	Category	Category	More than 1 percentage of shareholding	Category
Name of the Shareholders (I)					NON RESIDENT INDIANS (REPAT)	DEBASHISH NEOGI	NON RESIDENT INDIANS (NON REPAT)
PAN (II)						ABFPN8889A	
No. of the Shareholders (I)	70	34	1	1	224	1	100
No. of fully paid up equity shares held (IV)	276419	9957	124791	13700	295024	127000	109516
No. Of Partly paid-up equity shares held (V)							
No. Of shares underlying Depository Receipts (VI)							
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	276419	9957	124791	13700	295024	127000	109516
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	3.26	0.12	1.47	0.16	3.48	1.5	1.29
Number of Voting Rights held in each class of securities (IX)							
Class eg: X	276419	9957	124791	13700	295024	127000	109516
Class eg:y							
Total	276419	9957	124791	13700	295024	127000	109516
Total as a % of Total Voting rights	3.26	0.12	1.47	0.16	3.48	1.5	1.29
No. Of Shares Underlying Outstanding convertible securities (X)							
No. of Shares Underlying Outstanding Warrants (Xi)							
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)							
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	3.26	0.12	1.47	0.16	3.48	1.5	1.29
Number of Locked in shares (XII)							
No. (a)	0	0	0	0	0	0	0
As a % of total Shares held (b)	0	0	0	0	0	0	0
Number of equity shares held in dematerialized form (XIV)	245719	9957	124791	0	295024	127000	109516
Reason for not providing PAN							
Reason for not providing PAN							

Any Other (specify)					
Serial No.	8	9	10	11	
Category	ESOP or ESOS or ESPS	HUF	Overseas Corporate Bodies	Overseas Corporate Bodies	
Category / More than 1 percentage	Category	Category	Category	More than 1 percentage of shareholding	
Name of the Shareholders (I)				SAIF PARTNERS INDIA V LIMITED	<a href="#">Click here to go back</a>
PAN (II)				AAVCS3166R	Total
No. of the Shareholders (I)	48	113	1	1	592
No. of fully paid up equity shares held (IV)	37490	58727	1890417	1890417	2816041
No. Of Partly paid-up equity shares held (V)					
No. Of shares underlying Depository Receipts (VI)					
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	37490	58727	1890417	1890417	2816041
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	0.44	0.69	22.27	22.27	33.18
Number of Voting Rights held in each class of securities (IX)					
Class eg: X	37490	58727	1890417	1890417	2816041
Class eg:y					
Total	37490	58727	1890417	1890417	2816041
Total as a % of Total Voting rights	0.44	0.69	22.27	22.27	33.18
No. Of Shares Underlying Outstanding convertible securities (X)					
No. of Shares Underlying Outstanding Warrants (Xi)					
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)					
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	0.44	0.69	22.27	22.27	33.18
Number of Locked in shares (XII)					
No. (a)	37490	0	223750	223750	261240
As a % of total Shares held (b)	100	0	11.84	11.84	9.28
Number of equity shares held in dematerialized form (XIV)	37490	58727	1890417	1890417	2771641
Reason for not providing PAN					
Reason for not providing PAN					

**significant beneficial owners**

Sr. No.	Details of the SBO					Details of the registered owner					Details of holding/ exercise of right of the SBO the reporting company, whether direct or indirect				
	Name	PAN	Passport No. in case of a foreign national	Nationality	Nationality (Applicable in case of Any other is selected)	Name	PAN	Passport No. in case of a foreign national	Nationality	Nationality (Applicable in case of Any other is selected)	Whether by virtue of:				
											Shares	Voting rights	Rights on distributable dividend or any other distribution	Exercise of control	Exercise of significant influence
1	JAYENDRABHAI BHAILALBHAI PATEL	ABBPP2876K		India		JAYENDRA BHAILAL PATEL-HUF	AABHP2573C		India		2.31	2.31	2.31	Yes	Yes
2	JAYENDRABHAI BHAILALBHAI PATEL	ABBPP2876K		India		NAMRA HOLDINGS AND CONSULTANCY SERVICES LLP	AAOFN4350J		India		5.59	5.59	5.59	Yes	Yes
3	JAYENDRABHAI BHAILALBHAI PATEL	ABBPP2876K		India		JAYENDRABHAI BHAILALBHAI PATEL	ABBPP2876K		India		5.04	5.04	5.04	Yes	Yes
<b>Total:</b>											<b>12.94</b>	<b>12.94</b>	<b>12.94</b>		
4	RITABEN JAYENDRABHAI PATEL	ABOPP5894N		India		NAMRA HOLDINGS AND CONSULTANCY SERVICES LLP	AAOFN4350J		India		2.79	2.79	2.79	Yes	Yes
5	RITABEN JAYENDRABHAI PATEL	ABOPP5894N		India		RITABEN JAYENDRABHAI PATEL	ABOPP5894N		India		5.14	5.14	5.14	Yes	Yes
<b>Total:</b>											<b>7.93</b>	<b>7.93</b>	<b>7.93</b>		
6	ALOK JAYENDRA PATEL	AJHPP9910G		India		NAMRA HOLDINGS AND CONSULTANCY SERVICES LLP	AAOFN4350J		India		2.79	2.79	2.79	Yes	Yes
7	ALOK JAYENDRA PATEL	AJHPP9910G		India		ALOK JAYENDRA PATEL	AJHPP9910G		India		2.92	2.92	2.92	Yes	Yes
<b>Total:</b>											<b>5.71</b>	<b>5.71</b>	<b>5.71</b>		